Survey Response and Data Quality in the Evaluation of the Rwanda Threshold Program (RTP)

March 25, 2011

International Workshop on Comparative Survey Design and Implementation

Matt Sloan Ira Nichols-Barrer Lindsay Wood Anu Rangarajan



Program Facts

- RTP goals: expand political liberties, civil rights, and citizens' voice and accountability
 - Strengthen justice sector
 - Increase civic participation
 - Promote civil rights and liberties
- Three-year project
 - October 2008—threshold program signed
 - Q3 2009 to Q1 2010—implementation begins
 - March 2010—program evaluation begins
- Program cost: \$24.7 million



Program Components

Components

Program Objectives

Strengthening the Rule of Law for Policy Reform

Facilitate the rapid implementation of the legislative reform agenda Provide training and targeted technical assistance to the judiciary

Strengthening Civic Participation

Increase civic participation at the local level to improve citizens' input into the formation of local government policies, development planning, and service delivery

Strengthen the capacity of local officials for public participation Build the capacity of local civil society organizations (CSOs)

Strengthening Civil Society

Build the capacity of national CSOs

Increase the input of civil society into the formulation and implementation of national public policy

Strengthening the Inspectorate Services of the Rwandan National Police (RNP)

Support the Inspectorate Services of the RNP to effectively monitor internal police performance

Media Strengthening

Build the professional capacity of journalists, media owners, and media associations

Program Areas Evaluated

- Civic participation: improving the local government's ability to interact productively with citizens and civil society to formulate policy and deliver services
- RNP's Inspectorate Services: establishing a public system through the Office of Inspectorate Services for collecting and resolving citizens' complaints about police conduct
- Media: promoting free, responsible media in Rwanda through the establishment of two community radio stations

Evaluation Design: Civic Participation

Treatment: support for CSOs' efforts to advocate for local issues and train local government officials to be more responsive to citizens' priorities

Outcomes of interest:

- Ability to analyze and monitor the government's performance
- Knowledge of mechanisms and opportunities for civic participation?
- Public input into local policymaking and governance
- Satisfaction with the provision of government services

Evaluation Design: Civic Participation (cont'd.)

Random assignment:

- Pairs of districts were matched using population data and economic indicators
- Within each pair, one district was randomly assigned to receive benefits during the project's first year

Evaluation Design: Police

Treatment: 250 complaint boxes across Rwanda

Outcomes of interest:

- Citizens' understanding of disciplinary procedures
- Citizens' confidence in how the police handle complaints
- Citizens' views on whether police conduct has improved?

Nonrandom evaluation design:

- Treatment group—citizens living in sectors with complaint boxes
- Comparison group—citizens living in sectors without complaint boxes
- 208 of Rwanda's 416 sectors have complaint boxes (some have multiple boxes)



Evaluation Design: Media

- Treatment: two new community radio stations
- Outcomes of interest:
 - Awareness of the broadcasts and programming of the community radio stations
 - Knowledge of local current affairs
 - Access to reliable and objective news sources
- Nonrandom evaluation design:
 - Pre-post design of citizens living in the broadcast regions of the two RTP-supported radio stations



Implementation: Household and Respondent Sampling

- Target sample for baseline survey: 10,000 respondents nationwide
 - Calculated sample targets by sector, with the population proportionate to the national population
 - Chose households using a "random walk" method
 - Randomly selected an adult respondent from each selected household

Implementation: Questionnaire Design

Focused on 10 outcomes of interest

Modules:

- Demographic Information
- Media/Radio (Services and Programming)
- Local Media/Radio (MCC-Funded Radio Stations)
- Civic Participation (Activities)
- Civic Participation (Opinions and Perceptions)
- Government Services
- RNP (Complaint Procedures)
- RNP (Confidence in Police)
- Translated into Kinyarwanda

Implementation: Pilot Study

- The questionnaire was tested in a pilot study of respondents across three districts
 - Four observers: staff from the Rwandan National Institute of Statistics, USAID, and local data collector
- The pilot study tested:
 - The clarity of the survey questions
 - Sampling procedures
 - Interviewers' ability to meet production goals

Implementation: Baseline Data Collection

- Baseline data collection occurred between January 15 and February 8, 2011
- Data collection was conducted by a local data collection firm
- Response rate was 96.3 percent

Challenges: Design Phase

- Lack of existing surveys on governance and civic participation
 - Issue: Few examples of questionnaires related to key outcomes of interest in this study, including citizen participation and citizens' perceptions of the government; no examples of surveys on governance or civic participation in Rwanda
 - Response: Adapted similar survey questions where possible
- Rwandan government's authority over data collection efforts
 - Issue: Approval requirements affected survey design
 - Response: Maintained open dialog with stakeholders throughout the process; obtained approval with few changes

Challenges: Implementation Phase

- Hard-copy questionnaires vs. computer-assisted personal interviewing
 - Issue: Though less expensive and easier to use, hard-copy questionnaires left more room for interviewer error related to skip patterns, unclear markings, and spelling variations
 - Response: Thoroughly reviewed text variables during data cleaning
- Absent respondents
 - Issue: When a respondent was absent, interviewers moved to the next randomly selected household and completed the process again, which raised concerns about nonresponse bias
 - Response: Confirmed minimal bias by comparing demographic variables in data set to demographic data available from the World Bank and World Health Organization

Challenges: Implementation Phase (cont'd.)

Cultural norms and practices

Issues

- Culture of deference to authority
- Sensitive nature of the questions paired with the authoritarian nature of the Rwandan government

Responses

- Added introductory scripts to assure respondents that we wanted to hear their opinions regardless of their education level or background
- Emphasized the confidentiality of respondents' information and the importance of the data
- Used norming statements, hypothetical questions, and questions on basic government knowledge to gain insight into respondents' civic engagement while reducing the sensitive nature of the questions

Discussion

- Existing survey methods may be adaptable in this context
- Triangulation, using multiple methods, could show whether responses are biased
- It is crucial to assess the development of on governance and civic participation measures

For More Information

- Please contact:
 - Matt Sloan
 - msloan@mathematica-mpr.com